

**K L UNIVERSITY**  
**DEPARTMENT OF COMMERCE**  
**B.Com (Honours) (Accounting & Finance)**  
**VIII- SEMESTER**  
**Financial Services**

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**Unit I: FINANCIAL SERVICES: (Hours : 4 )**

Financial system and markets, Nature and scope of financial services, Financial intermediation, Regulatory framework for financial services.

**Unit II: ASSET FINANCING SERVICES: (Hours : 8 )**

Leasing, Hire-purchase, Debt securitization, Housing finance, Inter-corporate loans.

**Unit III: MERCHANT BANKING SERVICES: (Hours : 8 )**

Issue market and other services, corporate advisory services, Market making process, SEBI guidelines on Merchant Banking.

**Unit IV: FINANCIAL MARKET OPERATIONS: (Hours : 6 )**

Stock exchange operations, Stock broking services, Role of portfolio managers and registrars, Mutual funds, Regulations of SEBI on mutual fund operations.

**Unit V: ALLIED FINANCIAL SERVICES: (Hours : 4 )**

Venture capital, Insurance services, Factoring, Forfeiting, Discounting, Depository system, Custodian and custodial services, Credit rating, Credit cards.

**Text Books:**

1. L M Bhole, "Financial Institutions and Markets", Tata McGraw Hill, 2010, 4<sup>th</sup> Edition, New Delhi..

**Reference Books:**

1. M Y Khan, "Financial Services", Tata McGraw Hill, 2009, 4<sup>th</sup> Edition, New Delhi.
2. Chinmaoy Sahu, "Management of Financial Services", Excel Books, 2010, 7<sup>th</sup> Edition, New Delhi.
3. Avadhani V A, "Marketing of Financial Services", Himalaya Publishing House, 2007, 3<sup>rd</sup> Edition, Mumbai.